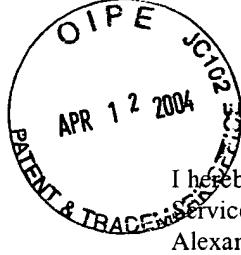


# FEE TRANSMITTAL SHEET (FOR FY 2004)

<b>FEE TRANSMITTAL SHEET (FOR FY 2004)</b>		<b>Complete if Known</b>																																																																																																																																																																																									
		Application No.		09/833,029																																																																																																																																																																																							
		Filing Date		April 10, 2001																																																																																																																																																																																							
		First Named Inventor		Jason B. Elledge																																																																																																																																																																																							
		Group Art Unit		3723																																																																																																																																																																																							
		Examiner		Eziamara Anthony Ojini																																																																																																																																																																																							
Atty. Docket Number		500188.03																																																																																																																																																																																									
<b>METHOD OF PAYMENT (Check One)</b>		<b>FEE CALCULATION (Continued)</b>																																																																																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u>  2. <input checked="" type="checkbox"/> Check Enclosed		<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity</th> <th style="width: 15%;">Small Entity</th> <th colspan="3"></th> <th></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td></td><td>65 Surcharge - Late filing fee or oath</td><td>\$</td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td></td><td>25 Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td></td><td>130 Non-English specification</td><td>\$</td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td></td><td>2,520 For Filing a Request for ex parte Reexamination</td><td>\$</td></tr> <tr><td>1804</td><td>920</td><td>1804</td><td></td><td>920 Requesting publication of SIR prior to Examiner action</td><td>\$</td></tr> <tr><td>1805</td><td>1,840</td><td>1805</td><td></td><td>1,840 Requesting publication of SIR after Examiner action</td><td>\$</td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td></td><td>55 Extension for reply within first month</td><td>\$</td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td></td><td>210 Extension for reply within 2<sup>nd</sup> month</td><td>\$</td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td></td><td>475 Extension for reply within 3<sup>rd</sup> month</td><td>\$</td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td></td><td>740 Extension for reply within 4<sup>th</sup> month</td><td>\$</td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td></td><td>1,005 Extension for reply within 5<sup>th</sup> month</td><td>\$</td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td></td><td>165 Notice of Appeal</td><td>\$</td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td></td><td>165 Filing a brief in support of an appeal</td><td>\$</td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td></td><td>145 Request for oral hearing</td><td>\$</td></tr> <tr><td>1814</td><td>110</td><td>2814</td><td></td><td>55 Terminal Disclaimer Fee</td><td>\$</td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td></td><td>55 Petition to revive – unavoidable</td><td>\$</td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td></td><td>665 Petition to revive – unintentional</td><td>\$</td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td></td><td>665 Utility/Reissue issue fee</td><td>\$</td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td></td><td>240 Design issue fee</td><td>\$</td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td></td><td>320 Plant issue fee</td><td>\$</td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td></td><td>130 Petitions to the Commissioner</td><td>\$</td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td></td><td>50 Processing fee under 37 CFR 1.17(q)</td><td>\$</td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td></td><td>180 Submission of IDS</td><td>\$</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td></td><td>40 Recording each patent assignment per property (times number of properties)</td><td>\$</td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td></td><td>385 Request for Continued Examination (RCE)</td><td>\$ 770</td></tr> <tr> <td colspan="5" style="text-align: center;">Other fee (specify)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>Subtotal (3)</b></td> <td style="text-align: right;"><b>\$ 770</b></td> </tr> <tr> <td colspan="7" style="text-align: right;"><b>Total Amount of Payment:</b> <b>\$ 770</b></td> </tr> </tbody> </table>					Large Entity	Small Entity					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid	1051	130	2051		65 Surcharge - Late filing fee or oath	\$	1052	50	2052		25 Surcharge - late provisional filing fee or cover sheet	\$	1053	130	1053		130 Non-English specification	\$	1812	2,520	1812		2,520 For Filing a Request for ex parte Reexamination	\$	1804	920	1804		920 Requesting publication of SIR prior to Examiner action	\$	1805	1,840	1805		1,840 Requesting publication of SIR after Examiner action	\$	1251	110	2251		55 Extension for reply within first month	\$	1252	420	2252		210 Extension for reply within 2 <sup>nd</sup> month	\$	1253	950	2253		475 Extension for reply within 3 <sup>rd</sup> month	\$	1254	1,480	2254		740 Extension for reply within 4 <sup>th</sup> month	\$	1255	2,010	2255		1,005 Extension for reply within 5 <sup>th</sup> month	\$	1401	330	2401		165 Notice of Appeal	\$	1402	330	2402		165 Filing a brief in support of an appeal	\$	1403	290	2403		145 Request for oral hearing	\$	1814	110	2814		55 Terminal Disclaimer Fee	\$	1452	110	2452		55 Petition to revive – unavoidable	\$	1453	1,330	2453		665 Petition to revive – unintentional	\$	1501	1,330	2501		665 Utility/Reissue issue fee	\$	1502	480	2502		240 Design issue fee	\$	1503	640	2503		320 Plant issue fee	\$	1460	130	1460		130 Petitions to the Commissioner	\$	1807	50	1807		50 Processing fee under 37 CFR 1.17(q)	\$	1806	180	1806		180 Submission of IDS	\$	8021	40	8021		40 Recording each patent assignment per property (times number of properties)	\$	1801	770	2801		385 Request for Continued Examination (RCE)	\$ 770	Other fee (specify)					\$	<b>Subtotal (3)</b>					<b>\$ 770</b>	<b>Total Amount of Payment:</b> <b>\$ 770</b>						
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Submitted by:																																																																																																																																																																																											
Name: Mark W. Roberts, Ph.D.		Reg. No.: 46,160			Telephone: (206) 903-8800																																																																																																																																																																																						
Signature:					Date: 4-5-04																																																																																																																																																																																						



Since

RCE/3723

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 6, 2004

Date

  
Phoebe E. Pogson

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/833,029

Confirmation No. : 1338

Applicant : Jason B. Elledge

Filed : April 10, 2001

Attorney Docket No. : 500188.03

Art Unit : 3723

Customer No. : 27,076

Examiner : Eziamara Anthony Ojini

Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING  
MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF  
MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND  
USING THE SAME

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**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
**UNDER 37 C.F.R. § 1.114**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant requests continued examination, under 37 C.F.R. § 1.114, of the above-identified application.

**1. Submission Required Under 37 C.F.R. § 1.114**

- a.  Submission previously submitted
  - i.  Consider the amendment/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_.
  - ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.

iii.  Consider the Information Disclosure Statement (IDS) previously filed on \_\_\_\_\_.

b.  Submission enclosed

- i.  Amendment/Reply
- ii.  Affidavit(s)/Declaration(s)
- iii.  Information Disclosure Statement (IDS)

**2. Suspension of Action** (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. § 1.17(i) required)

Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_ months.

**3. Accompanying Papers**

Postcard

Check

Fee Transmittal Sheet

Petition

**4. Deposit Account**

The Commissioner is authorized to charge any additional fees required or credit any overpayment to Deposit Account No. 50-1266.

Respectfully submitted,

Date: 4-8-04

By:



Mark W. Roberts, Ph.D.  
Reg. No. 46,160  
Customer No. 27,076  
Dorsey & Whitney LLP  
1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101  
(206) 903-8728  
Attorney for Applicant